

Electronic Acknowledgement Receipt

EFS ID:	6407008
Application Number:	10753069
International Application Number:	
Confirmation Number:	5428
Title of Invention:	Systems and methods for trading actively managed funds
First Named Inventor/Applicant Name:	Clifford J. Weber
Customer Number:	22930
Filer:	Michael Jay Stimson
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Attorney Docket Number:	00322.0008.CPU501
Receipt Date:	06-NOV-2009
Filing Date:	08-JAN-2004
Time Stamp:	13:53:02
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$940
RAM confirmation Number	107
Deposit Account	083038
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RequestForContinuedExamination.pdf	77492 c2a1f6ca892d4470e97c5c0b7e781544c079a154	no	3
Warnings:					
This is not a USPTO supplied RCE SB30 form.					
Information:					
2	Extension of Time	sb0022PetitionForExtension.pdf	312992 89580a12b8f9c0e15a1b48f69546e1e93b11923	no	2
Warnings:					
Information:					
3	Amendment Submitted/Entered with Filing of CPA/RCE	AmendmentsAndResponse.pdf	1285840 810f3084723e0c11118a521940b0a6d04f35a05	no	27
Warnings:					
Information:					
4	Terminal Disclaimer Filed	TerminalDisclaimer.pdf	93566 b7d1fd47208bc064d1ed7d760059abb075c0991b	no	1
Warnings:					
Information:					
5	Affidavit/Dec/Exhibit after Notice of Appeal	3dDeclarationCharlesBaker.pdf	60892 202b61123ba8640be4d26ce76f8ba4461c7c405c	no	2
Warnings:					
Information:					
6	Affidavit/Dec/Exhibit after Notice of Appeal	DallmerDeclaration.pdf	171509 d81704679e05c0d4c8b0f5c01a202dffa774a0c	no	5
Warnings:					
Information:					
7	Affidavit/Dec/Exhibit after Notice of Appeal	BakerDeclaration.pdf	976087 bca006d3c5d56a1cc46d3273477c0c8995f0ba2	no	14
Warnings:					
Information:					

8	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit1BakerDecl.pdf	117623 71239a6578278ab16ab705869c54c1c1bbac84	no	3
Warnings:					
Information:					
9	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit2BakerDecl.pdf	219584 78026d126c2276d81b0d899eab789340e407176e	no	18
Warnings:					
Information:					
10	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit3BakerDecl.pdf	225830 4a74a1c186843c203cf1717825945c64d78b6d4d	no	4
Warnings:					
Information:					
11	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit4BakerDecl.pdf	172479 b5a17dbc4ac6d6ec5c496547a03165dae2d81927f	no	3
Warnings:					
Information:					
12	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit5BakerDecl.pdf	215431 6a247d889b74789b7c3d5d5db5c62317d51838	no	3
Warnings:					
Information:					
13	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit6BakerDecl.pdf	3368324 235a576d253d8a65782a13d699ca7bd51ed3d9099	no	112
Warnings:					
Information:					
14	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit7BakerDecl.pdf	278726 da3eac1024ec72a2d41f6ab1895955dbd0340138	no	2
Warnings:					
Information:					
15	Affidavit/Dec/Exhibit after Notice of Appeal	Exhibit8BakerDecl.pdf	678469 4c0bf6c6d9993294ea7636c3637e1d9402a9d3d8	no	22
Warnings:					
Information:					
16	Fee Worksheet (PTO-875)	fee-info.pdf	32088 622ba95575d828e75622c14ac05b4b4bdc5457c	no	2
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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